

**Dr. B.R. Ambedkar University of Social Sciences,  
Dr. Ambedkar Nagar (MHOW)**

**Annual Self-Assessment for the Performance Based Appraisal System (PBAS)**

PART A: GENERAL INFORMATION AND ACADEMIC BACKGROUND

1. **Name (in Block Letters):**
  
2. **Father's Name/Mother's Name:**
  
3. **Department:**
  
4. **Current Designation & Grade Pay:**
  
5. **Date of last Promotion:**
  
6. **Address for correspondence (with Pincode)**
  
7. **Permanent Address (with Pincode)**

**Telephone/Mobile No:**

**Email:**

8. **Whether acquired any degrees or fresh academic qualifications during the year:**

9. **Academic Staff College Orientation/Refresher Course attended during the period**

<b>Name of the Course/ Summer School</b>	<b>Place</b>	<b>Duration</b>	<b>Sponsoring Agency</b>

**PART B: ACADEMIC PERFORMANCE INDICATORS**

(Please see detailed instructions of this PBAS proforma before filling out this section)

**CATEGORY: 1. (TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES)**

- (i) Lectures, Seminars, Tutorials, Practicals, Contact Hours (give semester-wise details, where necessary)

Semester	Course/Paper	Level	Mode of teaching*	Hours per week allotted				% of classes taken as per documented record
				Theory		Practical		
				Allotted	Taken	Allotted	Taken	

\*Lecture (L), Seminar(S), Tutorial (T), Practical (P), Contact Hours(C)

\*\* Applicable for Practical based subjects

		API Score
(a)	Classes Taken(max 50 for 100% performance & proportionate score up to 80% performance, below which no score may be given)	
(b)	Teaching Load in excess of UGC norm(max score:10)	

\*\*\* (ii) Reading/Instructional material consulted and additional knowledge resources

S.No	Course/Paper	Consulted	Prescribed	Additional Resource provided
				API Score

- (iii) Use of participatory and innovative Teaching Learning Methodologies, Updating of Subject Content, Course Improvement etc

S.No.	Short Description	API Score
	<b>Total Score</b>	

- (iv) Examination Duty Assigned and Performed

S.No.	Type of Examination Duties	Duties Assigned	Extent which carried out (%)	API Score
	<b>Total Score</b>			

**CATEGORY II: CO-CURRICULAR EXTENSION, PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES**

S.No.	Type of Activity	Average Hrs/Week	API Score
	<b>(i) Extension, Co-curricular &amp; field based activities</b>		
	<b>Total</b>		
	<b>(ii) Contribution to Corporate Life and Management of the Institution</b>	<b>Yearly/Semester wise responsibilities</b>	<b>API Score</b>
	<b>Total</b>		
	<b>(iii) Professional Development Activities</b>		
	<b>Total</b>		
	<b>Total Score (i + ii + iii)</b>		

**CATEGORY: III. (RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS)**

**A) Published Papers in Journals**

Sl. No.	Title, with page nos	Journal Name	ISBN/ISSN No	Whether peer reviewed. Impact factor if any,	No. of authors	Whether you are the main author	API Score

**B(i) Articles/ Chapters Published in Books**

S. No.	Title with page nos	Book Title, Editor & Publisher	ISBN/ISSN No.	Whether peer reviewed / Impact factor, if any	No. of Co-authors	Whether you are the main author	API Score

**(ii) Full papers in Conference Proceedings**

S. No.	Title with page nos	Details of Conference Publication	ISBN/ISSN No.	Whether peer reviewed / Impact Factor, if any	No. of Co-authors	Whether you are the main author	API Score


**iii) Books published as single author or as editor**

S. No.	Title with page nos	Type of Book & Authorship	Publisher & ISSN/ISBN No.	Whether peer reviewed Impact factor, if any	No of co-authors	Whether you are the main author	API Score

**III C Ongoing and Completed Research Projects and consultancies**

**c) (i&ii) Ongoing Projects/Consultancies**

S. No.	Title of the Consultancy project	Period	Grant Amount Sanctioned (Rs. Lakh)	Whether policy document/patent as outcome	Status as Investigator	API Score

**C (iii & iv) Completed Projects/Consultancies**

S. No.	Title	Agency	Period	Grant amount mobilised (R. Lakh)	Whether policy document/patent as outcome	API Score


**D. Research Guidance**

S. No.	Number enrolled	Thesis submitted	Degree awarded	API Score Claimed
<b>M.Phil or equivalent</b>				
<b>Ph.D or Equivalent</b>				

**(E) (i) Training Courses, Teaching-Learning-Evaluation Technology Programmes, Faculty Development Programme (not less than one week duration)**

S. No.	Programme	Duration	Organised by	API Score

**(E) (ii) Papers presented in Conferences, Seminars, Workshops, Symposia**

S. No.	Title of the paper presented	Title of Conference/ Seminar/	Organised by	Whether International/National/State/Regional/College or University level	API Score awarded

**(E) (iii) Invited Lectures and Chairmanships at national or international conferences/seminars etc**

S. No.	Title of the lecture/ Academic Session	Title of conference/ seminar/ etc.	Whether International/ National	API Score

**IV Summary of API Scores**

	Criteria	Last Academic Year	Total API Score for Assessment Period	Annual Average APi Score for Assessment Period
<b>I</b>	<b>Teaching, Learning and Evaluation related activities</b>			
<b>II</b>	<b>Co-curricular, Extension, Professional Development etc</b>			
	<b>Total of I +II</b>			
<b>III</b>	<b>Research and Academic Contribution</b>			

**Self-Assessment scores as per UGC Regulations -2018 Appendix II, Table 2 are subject to verification by the University and by the Screening Cum Evaluation Committee/Selection Committee as the case may be.**

**PART C: OTHER RELEVANT INFORMATION**

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier.

S. No.	Details (Mention Year, value etc. where relevant)

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**LIST OF ENCLOSURES:** *(Please attach copies of certificates, sanction orders, papers etc. wherever necessary).*

<b>1.</b>	<b>6.</b>
<b>2.</b>	<b>7.</b>
<b>3.</b>	<b>8.</b>
<b>4.</b>	<b>9.</b>
<b>5.</b>	<b>10.</b>

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with the duly filled PBAS proforma.

**Place:**

**Date:**

**Signature of the faculty**

**with Designation**

**Signature of HOD/School  
Chairperson/Principal**

N.B: The individual PBAS proforma duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the University/College as necessary and placed before the Screening cum Evaluation Committee or Selection Committee for assessment/verification.

**Appendix II**

**Table -2**

**Methodology for University and College Teachers for calculating academic Research Score**

Assessment must be based on evidence produced by the teacher such as copy of publications, project sanction letter, utilization and completion certificates issued by the University and acknowledgements for patent filing and approval letters, Students Ph.D Award Letter etc

<b>S.No.</b>	<b>Academic Research Activity</b>	<b>Faculty of Sciences/Engineering/Agriculture/Medical/Veterinary Sciences</b>	<b>Faculty of Languages/Humanities/Arts/Social Sciences/Library/Education/Physical Education/Commerce/Man</b>
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			<b>agement &amp; other related disciplines</b>
01.	Research Papers in peer reviewed or UGC Journals	08 per paper	10 per paper
02.	Publications (other than Research Papers)		
	(a) Books authored which are published by:		
	International Publishers	12	12
	National Publishers	10	10
	Chapter in Edited Book	05	05
	Editor of Book by International Publisher	10	10
	Editor of Book by National Publisher	08	08
	(b) Translation works in Indian and Foreign Languages by qualified faculties		
	Chapter or Research Paper	03	03
	Book	08	08
3.	Creation of ICT mediated Teaching Learning Pedagogy and content and development of new and innovative courses and curricula		
	(a) Development of Innovative Pedagogy	05	05
	(b) Design of New Curricula and courses	02 per curricula/course	02 per curricula/course
	(c) MOOCs		
	Development of Complete MOOCs in 4 quadrants (04 credit courses (In case of MOOCs of lesser credits 05 marks/credit)	20	20
	MOOCs (developed in 4 quadrant) per module/lecture	05	05
	Content writer/subject matter expert for each module or MOOCs (at Least one quadrant)	02	02
	Course Coordinator for MOOCs (4 credit courses) (In case of MOOCs of	08	08

	lesser credits 02 marks/credits)		
	(d) E-Content		
	Development of e-Content in 4 quadrant for a complete course/e-book	12	12
	e-Content (developed in 4 quadrants) per module	05	05
	Contribution to development of e-content module in complete course/paper/e-book (at least one quadrant)	10	10
4	(a) Research Guidance		
	Ph.D	10 per degree awarded 05 per thesis submitted	10 per degree awarded 05 per thesis submitted
	M.Phil/P.G Dissertation	02 per Degree Awarded	02 per Degree Awarded
	(b) Research Projects Completed		
	More than 10 lakhs	10	10
	Less than 10 lakhs	05	05
	(c) Research Projects ongoing		
	More than 10 lakhs	05	05
	Less than 10 lakhs	02	02
	(d) Consultancy	03	03
5	(a) Patents		
	International	10	10
	National	07	07
	<b>(b) Policy document (submitted to an International body/organization like UNO/UNESCO/World Bank/International Monetary Fund, etc or Central Government or State Government</b>		
	International	10	10
	National	07	07
	State	04	04
	<b>(c) Awards/Fellowships</b>		
	International	07	07
	National	05	05
	<b>Invited Lectures/Resource Persons/paper presentation in Seminars/Conferences/full paper in Conference Proceedings (paper presented in</b>		

	<b>Seminars/Conferences and also published as full paper in Conference Proceedings will be counted only once)</b>		
	International (abroad)	07	07
	International (within country)	05	05
	National	03	03
	State/University	02	02

The Research Score for research papers would be augmented as follows:

Peer reviewed or UGC listed Journals (Impact factor to be determined as per Thomson Reuters list)

- i) Paper in referred journals without Impact factor – 05 points
- ii) Paper with impact factor less than 1-10 points
- iii) Paper with impact factor between 1 and 2-15 points
- iv) Paper with impact factor between 2 and 5-20 points
- v) Paper with impact factor between 5 and 10 – 25 points
- vi) Paper with impact factor >10-30 points

(a) Two authors: 70% of total value of publication for each author

(b) More than two authors: 70% of total value of publication for the First/Principal Corresponding author and 30% of total value of publication for each of the joint authors.

(c) Joint projects: Principal Investigator and Co-Investigator would get 50% each.

Note:

- Paper presented if part of edited book or proceeding then it can be claimed only once.
- For joint supervision of research students, the formula shall be 70% of the total score for Supervisor and Co-supervisor
- Supervisor and co-supervisor, both shall get 7 marks each
- For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b) Policy Document and 6. Invited lectures/Resource Person/Paper Presentation shall have an upper capping of thirty percent of the total research score of the teacher concerned.
- The research score shall be from the minimum of three categories out of six categories.